

Modifying the Radiative Quantum Efficiency of Erbium-Doped Glass in Silicon Slot Waveguides

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Abstract—We have modulated the radiative emission rate in Si slot waveguides with Er-doped glass slots by varying the waveguide layer dimensions. The corresponding quantum efficiency of 1537-nm emission varies between 0.37 and 0.51.

I. INTRODUCTION

High index contrast Si-based slot waveguides exhibit useful properties owing to extremely large relative electric field intensities in the slot layer for guided transverse-magnetic (TM) modes [1]. In the pursuit of an efficient light source integrated with Si photonic devices, slot waveguides with actively emitting slot layers are intriguing since they are expected to produce modal gain proportional to the intensity of the electric field confined to the slot, which can exceed 50% for certain geometries [2]. Another consequence of this confinement is the modification of the local density of optical states (LDOS), which also scales with the electric field intensity but depends on all modes, both guided and radiative, supported by the waveguide structure [3], [4]. This LDOS enhancement results in concomitant enhancement of the radiative component of the spontaneous emission rate and increased coupling efficiency into TM modes, as shown in recent work on Si slot waveguides with Er-doped silica [5] and Si nanocrystal sensitized Er slot layers [6].

Er-doped glass is an attractive candidate as an active slot material since the $^4I_{13/2}$ to $^4I_{15/2}$ transition of Er^{3+} produces light near 1550 nm. In addition to being in the telecommunications C band, light near this wavelength is below the Si band edge and can thus propagate through Si with low loss. Furthermore, the low index of silica-based glass is ideal for achieving large electric field confinement in slot waveguides.

We have fabricated planar Si slot waveguides with active Er-doped soda-lime glass slot layers and varied Si layer dimensions in order to demonstrate the dependence of the radiative spontaneous emission rate on guided waveguide modes. Time-resolved photoluminescence (PL) from the waveguides was used to determine the total emission rate of Er^{3+} ions in the glass slot layers. For each slot structure, the interfaces of the Er-doped layer, as well as the constituents of layer itself, are identical; therefore, the non-radiative decay rate, Γ_{nr} , can be assumed constant and all observed changes in the total emission rate can be attributed to changes in the LDOS.

Calculations of the LDOS for the slot structures are presented and compared with measured values of the radiative

emission rate using Γ_{nr} as a sole fitting parameter. The calculations were performed using an extension of the formalism laid out by Urbach and Rikken for dielectric slabs [7]. We find that the measured values of the emission rate match well to the theoretical predictions. Knowing Γ_{nr} and the LDOS allows the internal quantum efficiency, η , to be determined. We find that η ranges from 0.37 to 0.51 for the waveguide geometries considered here, corresponding to a significant change that is a function of waveguide geometry alone.

II. FABRICATION

Planar slot waveguide structures were fabricated by first thinning the top Si layer of Si-on-insulator (SOI) samples using thermal oxidation and etching with buffered hydrofluoric acid. The SOI had a lightly B-doped ($\sim 10^{15} \text{ cm}^{-3}$), p-type Si device layer and a 3- μm buried oxide layer. Samples were prepared with device layer thicknesses of approximately 100, 150, and 200 nm. An additional 5-nm thermal oxide passivation layer was grown on the top surfaces of the samples. A 45-nm layer of Er-doped soda-lime glass was then deposited on all the samples simultaneously using DC ion beam co-sputtering of SiO_2 with soda-lime glass and metallic Er. The samples were annealed for 300 s in a rapid thermal annealing (RTA) furnace at 850 °C in an O_2 ambient. The RTA furnace activates Er^{3+} ions in the glass matrix with minimal oxide undergrowth (~ 1 nm) beneath the film. Spectroscopic ellipsometry was used to verify that the total thickness of oxide on the SOI was approximately 51 nm for all samples, and Rutherford backscattering spectroscopy (RBS) was used to verify that Er resides only in the top 45 nm. RBS also revealed that the films contain 1.1 at. % Er.

An amorphous Si layer was sputtered atop the Er-doped layers and annealed in the RTA furnace for 300 s at 700 °C in N_2 to crystallize the film. Ellipsometry revealed polycrystalline optical properties over the visible to near-infrared spectrum and a film thickness of 73 nm. The final planar waveguide geometry is shown in Fig. 1. As described in Section IV, the radiative emission rate in bulk glass, $\Gamma_{\text{rad}}^{1.45}$, was determined by sputtering an identical Er-doped film on a silica substrate.

III. LDOS CALCULATIONS

For an electron bound to an Er^{3+} ion, Fermi's golden rule dictates that the transition rate from an excited state to the ground state via photon emission is proportional to the density

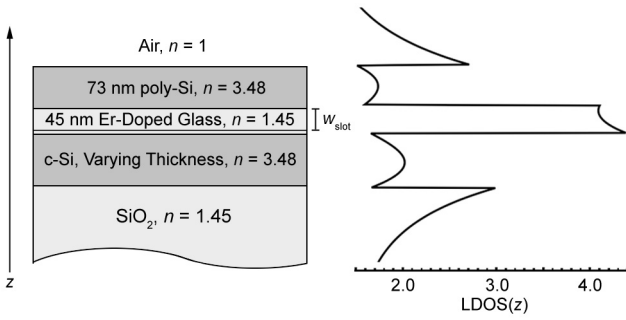


Fig. 1. Planar slot waveguide geometry shown with the calculated LDOS corresponding to a crystalline Si layer thickness of 100 nm.

of optical states. In contrast to emitters in a homogeneous medium, the spontaneous emission rate of Er^{3+} ions in a waveguide is position dependent since the fields associated with photon states are themselves a function of position. This *local* density of optical states, or LDOS, is defined as the position-dependent factor that scales the radiative emission rate. In this work, the LDOS is normalized to the density of states in a homogeneous medium of $n = 1.45$, so the total decay rate is

$$\Gamma_{\text{tot}}(\mathbf{r}) = \Gamma_{\text{rad}}(\mathbf{r}) + \Gamma_{\text{nr}} = \text{LDOS}(\mathbf{r}) \Gamma_{\text{rad}}^{1.45} + \Gamma_{\text{nr}}, \quad (1)$$

where the non-radiative decay rate, Γ_{nr} , accounts for effects such as Auger energy transfer and defect quenching, and $\Gamma_{\text{rad}}^{1.45}$ is the radiative emission rate in bulk glass.

Taking into account all discrete guided modes and the continuum of radiative modes associated with the waveguide structure, the LDOS is calculated as in Refs. [4] and [7] for an isotropic ensemble of emitters, and, due to the symmetry, depends only on z (as defined in Fig. 1). As seen in Fig. 1, the LDOS varies over the thickness of the slot. The collective effect on the radiative emission rate is captured by the averaged LDOS, defined as

$$\text{LDOS}_{\text{av}} = \frac{1}{w_{\text{slot}}} \int_{\text{slot}} \text{LDOS}(z) dz, \quad (2)$$

where the slot width is $w_{\text{slot}} = 45$ nm.

The averaged LDOS for the slot region is plotted in Fig. 2 for different thicknesses of the crystalline Si layer beneath the Er-doped film, where the top Si layer thickness is held constant at 73 nm, and the slot layer is 51-nm thick with the top 45 nm containing Er emitters. As the c-Si layer becomes thicker, the waveguide begins to support more guided modes. As each guided mode is born, there is a slope discontinuity in the curve representing the contribution from radiative modes. The net effect is that LDOS_{av} for all modes is a smoothly varying function. Due to large electric field confinement in the slot, the contribution from the first-order TM mode becomes quite large as the c-Si layer approaches a thickness of around 200 nm. Overall, the averaged LDOS is modulated by a factor of 3 simply by adjusting the thickness of the c-Si layer. Consequently, one can expect large changes in the observed emission rate for changes in geometry.

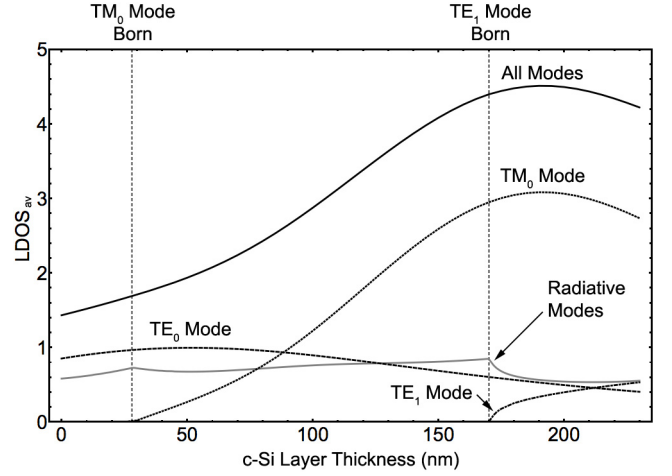


Fig. 2. The calculated averaged LDOS for the slot region of the Si slot waveguide geometry as a function of the thicknesses of the crystalline Si layer beneath the Er-doped film. The top Si layer thickness as well as the thickness of the slot are constant. Contributions from each guided mode, both transverse electric (TE) and transverse magnetic (TM), are shown, as is the contribution due to all radiative modes.

IV. TIME-RESOLVED PL MEASUREMENTS

Emission lifetimes for the Er-doped structures were obtained using the 488-nm line of an Ar gas laser passed through an acousto-optic modulator. Er-related emission near 1550 nm was collected through a monochromator and into a liquid N_2 cooled infrared photomultiplier. For the data presented here, the PL intensity from each sample scaled linearly with pump power, indicating the absence of saturation effects. A representative PL spectrum is shown in the inset of Fig. 3. Upon blocking the pump laser in each modulation period, the time-resolved PL intensity at 1537 nm was recorded with a multichannel scaler.

A. Characterization of Er-Doped Glass Films

For reference, we measured the radiative emission rate of the Er-doped films corresponding to a homogeneous medium with $n = 1.45$. Note that this rate, $\Gamma_{\text{rad}}^{1.45}$, is the radiative rate when $\text{LDOS} = 1$. For a 45-nm Er-doped glass film on SiO_2 , the PL lifetime, τ , was 11.44 ± 0.01 ms. By placing oil with an index of 1.518 on the surface of the sample, which is assumed to not change the non-radiative decay rate, the calculated LDOS is increased from 0.764 to 1.047. Indeed, τ is decreased to 9.45 ± 0.01 ms with the fluid cover. The original lifetime was recovered once the oil was removed with isopropanol.

By solving Eq. 1 for the geometries with and without the fluid, where $\Gamma_{\text{tot}} = \tau$, we obtain $\Gamma_{\text{rad}}^{1.45} = 65 \text{ s}^{-1}$, similar to previously reported values for Er in soda-lime glass [8], and $\Gamma_{\text{nr}} = 38 \text{ s}^{-1}$. This corresponds to a radiative quantum efficiency in bulk glass of

$$\eta = \frac{\Gamma_{\text{rad}}^{1.45}}{\Gamma_{\text{rad}}^{1.45} + \Gamma_{\text{nr}}} = 0.63. \quad (3)$$

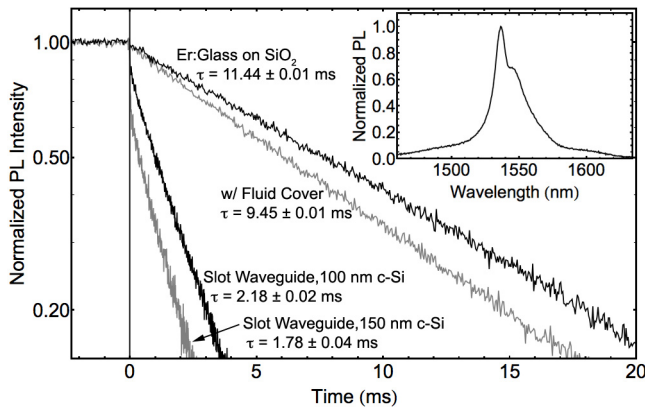


Fig. 3. Time-resolved PL for Er-doped glass films on SiO_2 and in slot waveguide structures. The data for the structure with the 200-nm c-Si layer is omitted since it overlaps the data corresponding to the 150-nm c-Si layer. The 488-nm pump was blocked at the time marked 0, allowing the spontaneous emission lifetime, τ , to be measured. For the film on SiO_2 , a fluid cover with $n = 1.518$ was placed on the surface, resulting in an increase of the decay rate. The inset shows the Er PL spectrum, which peaks at 1537 nm.

B. Emission from Planar Si Slot Waveguides

The PL lifetime was measured for the three slot waveguide geometries described above, and the corresponding decay rates are plotted in Fig. 4. The PL intensity was low for these samples due to interference and absorption of the pump in the top Si layer, but also due to increased coupling of spontaneous emission into guided waveguide modes [4]. This effect becomes more pronounced as the c-Si layer becomes thicker and the relative LDOS contribution from guided modes becomes larger.

The non-radiative decay rate, Γ_{nr} , was adjusted to provide the best fit to the data, and was thus determined to be 288 s^{-1} . This significant increase compared with Er-doped glass on SiO_2 is due to interaction with defects at the Si/ SiO_2 interfaces as well as in the bulk Si layers.

Overall, the differences in Γ_{tot} for the Er-doped layers in the different waveguide geometries are well accounted for by the LDOS alone, suggesting that it is reasonable to assume a constant value of Γ_{nr} . Furthermore, since Γ_{nr} is the sole fitting parameter and affects only the offset of the calculated curve in Fig. 4, these measurements serve as a tenable verification of the LDOS theory.

The observed changes in Γ_{rad} result in modification of the internal quantum efficiency, as shown on the right axis of Fig. 4. In particular, η increases from 0.37 for a slot waveguide with a 100-nm c-Si layer to 0.51 for a 200-nm c-Si layer. This change is purely a function of geometry, since the local environment of the Er^{3+} ions remains unchanged. Finally, we point out that, despite the significant increase in Γ_{nr} due to the Si layers of the slot waveguide, much of the quantum efficiency can be recovered as a result of the LDOS effect.

V. CONCLUSION

We have demonstrated modification of the radiative spontaneous emission rate, and consequently the radiative quantum

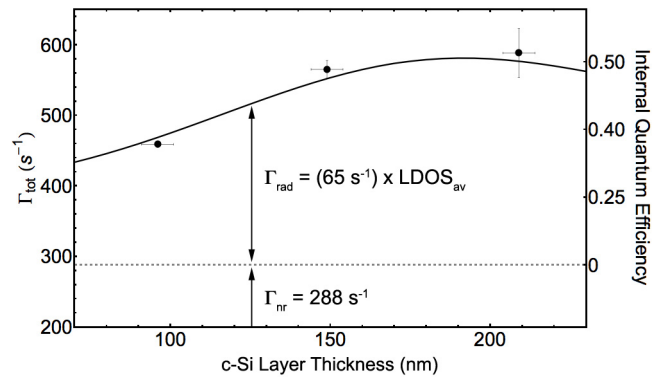


Fig. 4. Spontaneous emission rate for slot waveguides with varying thickness of the lower c-Si layer. The solid curve corresponds to the total averaged LDOS shown in Fig. 2 with the offset, Γ_{nr} , that results in the best fit to the data for fabricated structures. The corresponding radiative quantum efficiency is shown on the right axis.

efficiency, of Er-doped soda-lime glass layers in planar Si slot waveguides by adjusting the waveguide geometry. The films were shown to have a bulk glass radiative emission rate of 65 s^{-1} and a quantum efficiency of 0.63 when deposited on SiO_2 . Once incorporated into Si slot structures, the non-radiative decay rate is increased more than 7-fold; however, the radiative rate for 1537-nm radiation was greatly enhanced due to the contribution of guided modes to the local density of optical states. Furthermore, this radiative effect was shown to vary significantly due to changes in the waveguide geometry in agreement with theoretical calculations of the local density of optical states.

Overall, this work demonstrates that one can take advantage of the large slot confinement in high index contrast slot waveguides to significantly modify the radiative properties of emitters in the slot. In particular, by carefully optimizing the waveguide geometry, the radiative quantum efficiency of Er-doped glass can be maximized, which may prove useful in realizing active Si-based waveguides operating near 1550 nm.

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